

# The Progression of Heart Rate Variability Parameters throughout Cardiac Rehabilitation

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## Abstract

*To optimize exercise management in cardiac patients following a cardiac rehabilitation (CR) program, it is important to study short-term changes of parameters, representative for exercise capacity, at different time points during the exercise program. We hypothesize that a detailed study of these parameters could provide more insight into the actual improvement of the patients. Therefore, the progression of heart rate variability (HRV) parameters during short-term intervals throughout CR was investigated in this study.*

*Electrocardiographic (ECG) signals, recorded with a wearable device in 129 patients following a CR program, were analyzed. Patients participated in a follow up protocol consisting of five visits with a three-week period between them. For each session the ECG signals recorded with the wearable device in a resting, a walking, and a recuperation phase were analyzed. Analyses on the normalized power in the low frequency band (LFn) and the root mean square of successive differences (RMSSD) were performed for each phase in patients on and off drug therapy.*

*Treating CR patients with ACE-inhibition or beta blockers (BB) tended to have an influence on the HRV parameters. The progression of the HRV parameters throughout CR was mostly characterized by a non-monotonic trend. These insights elucidate the changes occurring in the regulatory mechanisms. Moreover, findings of this work give new insights valuable for the close monitoring of disease progression during CR in future applications.*

## 1. Introduction

Increasing evidence suggests an imbalance of the autonomic nervous system as a biomarker for a broad spectrum of cardiac diseases. Studying these alterations in

patients with cardiovascular problems might provide more insight into the actual disease progression [1-3]. A non-invasive tool to quantify autonomic activity and its alterations is the heart rate variability (HRV). The HRV represents the variation in the time intervals between consecutive heartbeats. It is built by detecting the R-peaks in the ECG signal and measuring the variation in the beat-to-beat interval. The HRV can be characterized using different measures in the time and frequency domain. Vagal activity is often quantified by the root mean square successive differences (RMSSD) in the time domain, while both low frequency (LF) and high frequency (HF) have been used in the frequency domain to assess the different branches of the autonomic nervous system. It is generally accepted that a decline in the HRV parameters that quantify vagal activity are associated with a worse outcome [1-4]. However, when assessing the HRV, a number of confounding factors should be considered. In a patient population, not only disease type and severity, but also intervention, i.e. drug therapy or rehabilitation can have confounding effects.

Previous studies showed that clinical interventions within a cardiac rehabilitation (CR) population can induce an increase in parasympathetic activity and a decrease in sympathetic activity [5-9]. This is reflected both in the time and in the frequency domain parameters derived from the HRV. Both ACE-inhibition and beta-blocker (BB) treatment strategies have been suggested to improve the regulatory mechanism of HRV in populations with cardiac problems. Similarly, the combination of medication with exercise interventions showed a decrease in sympathetic activity and an increase in parasympathetic activity [10-12]. These confounding factors are characteristic for a CR patient population and need to be considered when interpreting the progression of HRV parameters throughout the CR program.

In this study, we investigated the change of HRV parameters in cardiac patients with reduced ejection fraction (EF), during their rehabilitation program. To study

the short-term changes, measurements were performed every three weeks during a three-month rehabilitation program. More specifically, the effect of drug therapy on the response of HRV to rehabilitation was studied.

## 2. Material and methods

### 2.1. Patients

One hundred nineteen cardiovascular patients, participating in a structured multidisciplinary CR program in a single tertiary care centre (Ziekenhuis Oost-Limburg, Genk, Belgium), were included. Mean age was  $63 \pm 1$  years and 71% of patients were male. Baseline mean EF was 47 (35-55) %, and 55% of the patients had ischemic cardiomyopathy. 76% of subjects were on angiotensin-converting-enzyme inhibitor (ACE) therapy; 75% were on beta blocker (BB) therapy, and 61% of these patients were taking both. To study the effects of ACE-therapy, the complete patient population was divided into two groups, taking ACE inhibitors and not taking ACE inhibitors. Similarly, to investigate BB treatment, all patients were divided into the BB-group and the non-BB group. It is not excluded that patients on ACE-inhibition therapy are also treated with BB. On the other hand, patients not treated with BB can also be on ACE-inhibition treatment. The study complied with the Declaration of Helsinki and the local institutional committee approved the study protocol. Written informed consent was obtained from all subjects.

### 2.2. Cardiac Rehabilitation

After a cardiovascular-related hospitalization or consultation, patients were referred to the multidisciplinary CR program. Enrolled patients followed 45 sessions of supervised ambulatory rehabilitation at a frequency of three one-hour-sessions a week. The training protocol consisted of both aerobic and resistive exercises. The supervising physiotherapist increased the training intensity based on individual performance every two weeks.

### 2.3. Experiments

A six-minute walking test (6MWT) was performed at baseline (i.e. start of the rehabilitation program) and was repeated four times, every three weeks, resulting in a total of five measurements. The 6MWT was performed according to a standardized protocol [13]. Preceding the 6MWT, patients were at rest for five minutes to obtain their resting heart rate. Afterwards, a 5-minute recuperation phase followed the 6MWT. During the 6MWTs patients were equipped with a wearable device (imec, Eindhoven), which was used to record ECG signals at a sampling frequency of 512 Hz.

### 2.4. Parameter extraction

For each measurement, the signal was divided into three different parts. A 5-minute resting phase, a 6-minute walking phase, and a 5-minute recuperation phase. At the transition from resting to walking and from walking to recuperation, 10 seconds of ECG signal were removed, so that transient effects on the HRV were accounted for. An initial detection of R-peaks was done automatically with the algorithm and tool described in [14] and [15], next wrong detections were visually corrected. The Integral Pulse Frequency Modulation (IPFM) model was used to build an HRV representation and to mitigate the effect of ectopic beats on the HRV parameters [16]. HF power (HF: 0.15-.040 Hz), LF power (LF: 0.04-0.15 Hz) and RMSSD values were calculated for each phase, resulting in three HRV values per patient per session. HF and LF powers were normalized (HF<sub>n</sub> and LF<sub>n</sub> respectively) and thereby represented the relative value of each power component in proportion to the total power minus the very low frequency (VLF) component (0.03-0.4 Hz) [2]. Moreover, the difference in HRV between the different phases of activity and inactivity could contain additional information on progression throughout the CR program. Therefore, to study these changes in HRV, the differences in HRV,  $\Delta_{\text{rest-walk}}$ ,  $\Delta_{\text{walk-recup}}$ ,  $\Delta_{\text{rest-recup}}$  were respectively calculated. Next, the effect of drug therapy on the change of these HRV parameters and their delta differences throughout the CR program were studied.

### 2.5. Statistics

Normality was defined by the Shapiro-Wilk statistics. A two-way mixed ANOVA investigated the effect of ACE-inhibition and BB treatment on the progression of HRV parameters throughout a CR program. When significant, a univariate procedure was performed to analyse the simple main effect for medication intake. Next, a repeated measures ANOVA was performed to analyse the simple main effect over time (across five measurement sessions). The same tests were performed on the delta differences between the different phases to study the effect of medication intake on the progression throughout CR. The statistical significance was set at a 2-tailed probability level of  $<0.05$ . Statistics were performed using SPSS version 24 (IBM, Chicago, Illinois).

## 3. Results and discussion

The effect of ACE-inhibition or BB treatment on the progression of HRV parameters throughout CR was not statistically significant for any phase. This was tested when comparing the intake of the drugs over time for the LF<sub>n</sub>, HF<sub>n</sub>, LF, HF and RMSSD. Nevertheless, some trends were observed in the data.

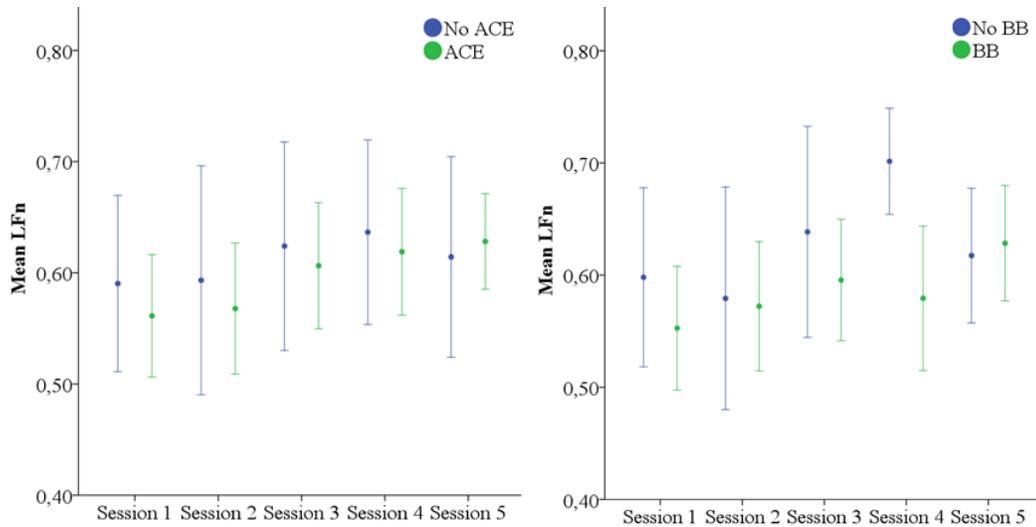


Figure 1 Clustered error bar of LFn for every session throughout CR for ACE inhibition (a) and BB treatment (b) in recuperation phase.

Firstly, the effect of ACE-inhibition or BB treatment throughout CR on the progression of LFn during the recuperation phase was investigated (Figure 1). An increasing trend in LFn was seen for all groups. The increase tended to be higher in patients on ACE-inhibition compared to patients not taking ACE-inhibition (ACE: difference between mean LFn session 5 and session 1= 0.07; No-ACE: difference between mean LFn session 5 and session 1= 0.02). Patients not taking BB showed an increase up until the fourth session followed by a drop in LFn power during the final session. Overall, when only comparing start and end of CR, LFn showed a very small increase for the non-BB group compared to the BB-group (BB: difference between mean LFn session 5 and 1= 0.02; No-BB: difference between mean LFn session 5 and 1 = 0.08).

Malfatto et al. showed a decrease in LFn power for patients following CR. This effect was amplified in patients taking BB [17]. These results are opposite to our results in which both the non-BB and BB-group showed an increase in LFn. A possible explanation could be the treatment strategy of cardiac patients. Most patients (61%) were on a combination of BB and ACE-inhibition therapy. For analysis purpose, a distinction was only made between patients taking or not taking BB and patients taking or not taking ACE-inhibitors. Therefore, patients on a combination therapy were included in both the BB and ACE-inhibition analysis and thus it was not excluded that patients on ACE-inhibition therapy were also treated with BB. On the other hand, patients not treated with BB could also be on ACE-inhibition treatment, which could explain the similar increase in LFn for the non-BB group as for the ACE group. These confounding factors were a limitation to our study results. Moreover, a CR population consists of cardiac patients with different pathologies, i.e. heart failure

and acute myocardial infarction patients, which could also have a potential influence on the results. Additionally, the ECG signal in cardiac patients often contains ectopic beats. However, the effect of these ectopic beats was reduced by using the IPFM model in this study.

Next, the effect of BB-therapy and exercise training on the progression during the resting phase was investigated (Figure 2). An increasing trend in RMSSD was seen up until the fourth session for patients on BB therapy, followed by a drop during the final session. The patients not treated with BB, showed a non-monotonic trend in RMSSD across sessions. This result suggested that treatment with BB, could stabilize the progression of RMSSD.

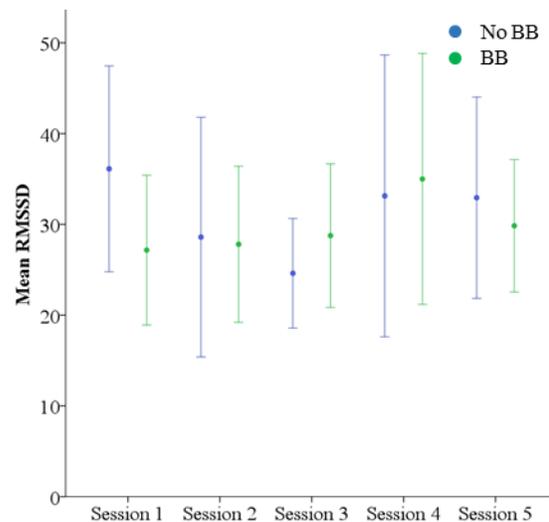


Figure 2. Clustered error bar of RMSSD for every session throughout cardiac rehabilitation for BB in resting phase.

In a final step, the changes that occurred in RMSSD when shifting from the resting phase to the walking phase were examined (Figure 3). A similar trend was seen for both the BB and BB group.

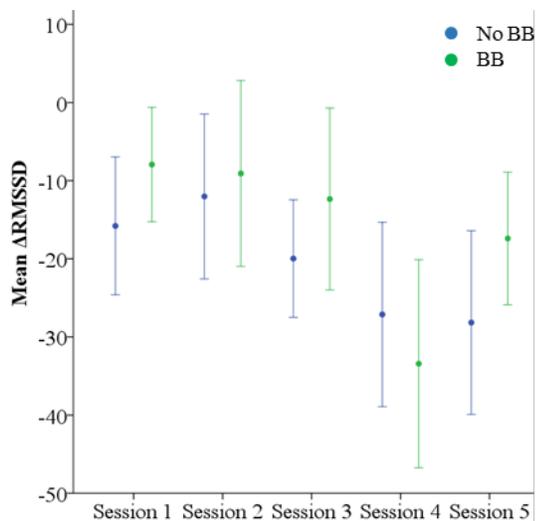


Figure 3. Clustered error bar of  $\Delta$ RMSSD for every session throughout cardiac rehabilitation for  $\Delta$ <sub>rest-walk</sub> with BB treatment.

The difference in RMSSD tended to be larger in patients not treated with BB for every session throughout CR, except for the fourth session, although not significant. This suggests a more stable vagal modulation when changing activities in the treated group. However, the disease severity in patients who were treated with BB was more pronounced, which was reflected in the ability of the autonomous nervous system to adapt to changes. Findings of this work might give more insight into the changes occurring in the regulatory mechanisms of the HRV within a CR patient population. Moreover, these insights are valuable in future applications of HRV parameters for tracking disease progression within a CR population.

#### 4. Conclusion

Treating CR patients with ACE-inhibition or BB tended to have an influence on the HRV parameters. The progression of the HRV parameters throughout CR was mostly characterized by a non-monotonic trend. These insights clarify the changes occurring in the regulatory mechanisms. Moreover, findings of this work give new insights valuable for closely monitoring of disease progression during CR in future applications.

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