

Spectral-Based Analysis of Progressive Dynamical Changes in the Fetal Heart Rate Signal During Labor by Using Empirical Mode Decomposition

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Abstract

In this work, we propose to study the progressive fetal response along the fetal heart rate (FHR) signal by using empirical mode decomposition and time-varying spectral-based analysis. The main idea is to investigate if a particular FHR signal episode in the time-domain reflects dynamical changes in the frequency-domain that can help to assess the fetal condition. Results show that the spectral components associated with the neural sympathetic fetal reactivity exhibit significant spectral energy differences between normal and acidotic fetuses.

1. Introduction

Timely identification of fetuses with the risk of asphyxia during labor enables clinicians to prevent potential adverse outcomes and without excessive intervention [1]. This procedure is usually based on the analysis of fetal heart rate (FHR) and uterine contraction (UC) signals obtained through the Cardiotocograph (CTG). However, the CTG analysis is difficult because it involves the interpretation of highly complex signals, whose methodology has shown to lack objectivity and poor reproducibility [1].

In order to improve the CTG interpretation, different medical guidelines [2] and computer-based support (CS) [3] have been proposed. However, concerning to those methods, guidelines lack consensus in several aspects and it has not been proven that CS improve the results so far.

On the other hand, recent literature indicates that each fetus has its own control and that its condition depends on how the fetus is compensating itself over time [4]. Likewise, experienced clinicians attempt to consider this evolution when interpreting CTGs by considering a specific FHR characteristic along a temporal window [2]. Under this concept, it seems that methods that do not consider these characteristics could not be appropriated for a correct analysis, since the interpretation is based just on a snapshot of the complete nonstationary input-output process [5].

In this context, several approaches based on time-varying

signal processing techniques have been proposed [1] such as Short Time Fourier Transform, quadratic time-frequency distributions or time-varying autoregressive (AR) modeling. Likewise, Continuous and Discrete Wavelets Transform techniques have been proposed in order to analyze the transient nature of the UC excitation. However, most of them are mainly focused on fetal reactivity as a response to a UC, without taking into account the progressive spectral variations from one event to another.

In this work, we propose to analyze such progressive characteristics along the FHR signal by using the complete ensemble empirical mode decomposition with adaptive noise (CEEMDAN) method [6] and parametric time-varying spectral-based analysis. The main idea is to study the spectral progressive FHR dynamics that can help to assess the fetal condition.

Results show that the CEEMDAN mode associated with the neural sympathetic fetal reactivity band (0.03 – 0.15Hz) exhibits significant spectral energy differences (p -value < 0.02) between normal and acidotic cases.

2. Methodology

The main idea behind the proposed method is to study spectral progressive variations present in the FHR signal that can be related to the fetal condition. For this purpose, we propose a modal spectral-based analysis performed by using CEEMDAN [6] and time-varying AR modeling.

2.1. FHR signal pre-processing

The FHR and UC signals acquisition is commonly subjected to different types of artifacts such as loss of data and outliers. Hence, following [7], FHR signal values outside of range between 50bpm and 200bpm are removed, and then loss of data less than 75s length are interpolated by using a Hermite spline method. Likewise, UC loss of data less than 25s are interpolated and filtered by a moving average filter of 15s windows length. This filtered UC signal is using for the decelerations identification.

In the sequel, the preprocessed FHR and UC signals are denoted simply as the FHR and UC signal, respectively.

2.2. Decelerations identification

The decelerations identification is performed following [8]. In a first step, the evident segments are recognized by a *floating-line* and a *progressive baseline*, which are computed by a nonlinear median filter [9] over a sliding window of 10s and 400s length, respectively [8].

In a second step, the segments that were not recognized as evident decelerations, but they are certainly a response due to a UC, are identified. Those episodes (*UC-seg*) are identified according to the criteria defined in [10], where a UC-seg starts 7s before the UC apex and ends 50s after it.

2.3. FHR signal decomposition

This work aims to study the spectral characteristics associated with the neural sympathetic fetal reactivity modulated by the autonomic nervous system (ANS), which mainly lie in the frequency band between 0.03 and 0.15Hz [1]. Hence, firstly it is necessary to attenuate the very low frequency (0 and 0.03Hz), associated with the morphological characteristics of FHR decelerations. Following [8], this *filtered FHR signal* is computed by the detrending between the FHR signal and the floating-line.

For the subsequent analysis, the filtered FHR signal is decomposed by using the CEEMDAN method [6]. It allows to decompose nonlinear and non-stationary signals into a finite number of components. Its main advantage is that it depends on local properties of the signal itself. In consequence, it does not require a priori information as other methods such as wavelet and Fourier transform. For more details of the CEEMDAN method, please refer to [6].

In the sequel, the CEEMDAN components extracted from the FHR signal are denoted as the *FHR modes*.

2.4. Time-varying AR spectrum estimation

For the spectral analysis of each FHR mode, the time-varying AR modeling is used, since this method offers certain advantages over other standard spectral-based methods [11]. It allows the extraction of quantitative spectral parameters versus time and requires only a fraction of the samples needed by standard techniques (e.g. Fast Fourier transform) to obtain the same resolution.

The AR time-varying spectrum can be described by:

$$S_{AR}[f, n] = \frac{1}{|1 + \sum_{k=1}^p a_k(n)e^{-j2\pi fk}|^2} \quad (1)$$

where p is the model order and a_k are the AR parameters.

Following [10], the order p was set to 6th and the AR coefficients $a_k(n)$ were computed by using a recursive least

squares algorithm with a forgetting factor set to 0.99. For more information of AR modeling, please refer to [11].

3. Results

The analysis is performed using data extracted from the CTU-UHB Intrapartum Cardiotocography database [12]. It contains 552 CTG recordings sampled at 4Hz. Codes have been implemented in Matlab[®] version 2015b.

For the evaluation, a dataset of CTG recordings was selected according to their outcome parameters of pH and BDecf values. Values of pH < 7.05 and BDecf ≥ 12 commonly indicate a fetal acidosis, whereas pH values between 7.20 and 7.60 indicate a normal fetal condition [13]. Therefore, CTG recordings labeled by values of pH < 7.05 and BDecf ≥ 12 were selected as examples of acidotic fetuses and recordings labeled by pH > 7.35 (arbitrarily chose from the normal range) and BDecf < 12 were selected as examples of normal fetuses. Under this criteria, 60 recording were selected for the analysis, 18 correspond to acidotic cases and 42 cases correspond to normal cases.

According to [1], the frequency band associated with neural sympathetic fetal reactivity lie in the range between 0.03 and 0.15Hz. Therefore, the FHR mode whose spectral dynamics are inside this frequency range was studied.

The Fig.1 exhibits two representative examples, one for each column. The first (left) corresponds to a normal case and the second (right) to an acidotic case, belonging to the recording 1189m and 1104m, respectively. The first row shows the raw FHR signal. The second row depicts the FHR decelerations (black), floating-line (blue) and the progressive baseline (magenta). The third row plots the FHR mode of interest (6th). The fourth row exhibits the AR spectrum computed from the FHR mode, whose values were normalized between 0 and 1 for each sample n .

In the fifth row the spectral energy (E) is plotted (values were normalized between 0 and 100). It is calculated from the total frequency band (0 – 2Hz) of the AR spectrum for each sample n as described in eq. (2).

$$E[n] = \sum_{f=0}^{2Hz} (S_{AR}[f, n]) \quad (2)$$

Finally, the last row depicts the average of the E during decelerations (EDD) in red markers. In Fig.1(e,f,i-l) the deceleration segments are highlighted in gray.

Results show that the analyzed cases exhibit different spectral behavior. Both cases show important spectral dynamics over time, which differ between an acidotic and a normal condition. Particularly, in the first example presented in the Fig.1 (left), the AR spectrum exhibits different spectral dynamical changes, whose E (Fig.1(i)) describes pronounced variations in amplitude.

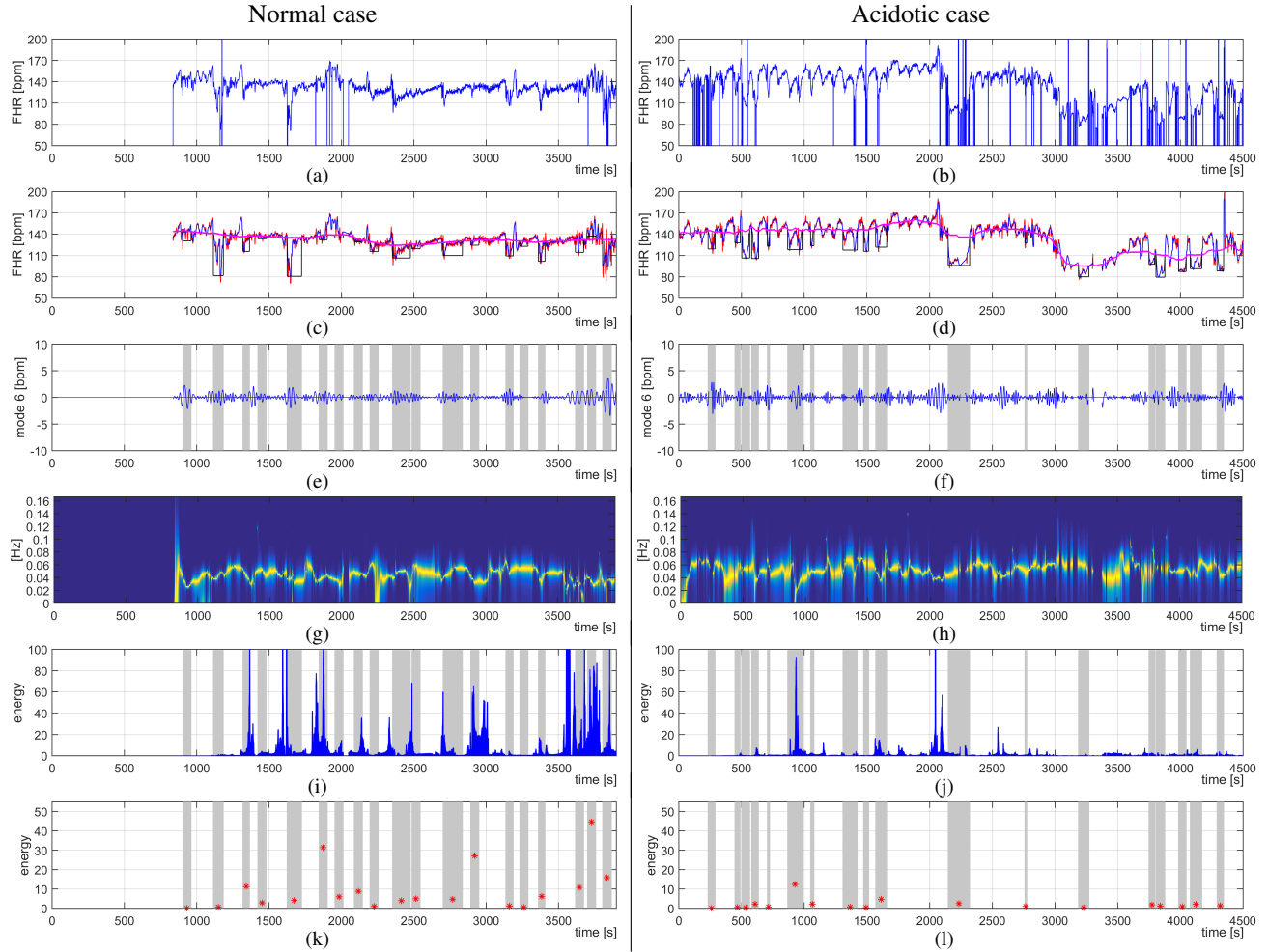


Figure 1: (a) Raw FHR signal nb. 1189m, pH= 7.36 and BDecf= 0.43; (b) Raw FHR signal nb. 1104m, pH= 6.92 and BDecf= 23.75; (c-d) FHR signal (red), floating-line (blue), progressive baseline (magenta) and decelerations (black); (e-f) FHR mode 6th; (g-h) time-varying AR spectrum; (i-j) spectral energy E ; (k-l) EDD (red markers).

In contrast to the previous example, the acidotic case shows a completely different spectral behavior (see Fig.1(j)). In this case, the E does not show prominent variations, i.e. the AR spectrum exhibits a less marked response in the E compared to the first case. Likewise, its E level is considerably lower compared to the first case.

This phenomenon can be also observed in the EDD , which is plotted in the last row of Fig.1. Here, we can clearly observe that the EDD is in general higher for the normal than the acidotic case. Likewise, for the acidotic case the EDD shows a more stable behavior from one deceleration to another compared to the normal case.

Likely, this phenomenon can be related to the fetal condition since the fetal reactivity, modulated by the sympathetic ANS, increases for a normal fetus compared to an acidotic fetus [10]. Therefore the neural sympathetic fetal reactivity of an acidotic fetus might not reflect high activity

in the FHR signal compared with a normal fetus.

In order to prove if this phenomenon is reflected in the other signals of the dataset, we compute two features: the average of E (\bar{E}) and the average of EDD (\bar{EDD}). Then a Wilcoxon rank-sum test was employed to evaluate if these features show a statistically significant difference between the normal and acidotic cases, performed under the hypothesis that the median values of the features differ between normal and acidotic cases.

The obtained results are presented in Table 1, whose boxplots are exhibit in Fig.2. Here, we can observe that the median values of \bar{E} are 3.45 and 2.24 and the median values of \bar{EDD} are 3.62 and 2.50 for the normal and acidotic cases, respectively. From the statistical test, the values of both features were significantly higher (p -value < 0.02) for the group of normal cases compared to the acidotic cases. As a result, the proposed hypothesis has been proven.

Table 1: Analysis of extracted spectral features

	Normal cases	Acidotic cases	Significance (p -value)
\overline{E}	3.45[2.56 – 6.61]	2.24[2.07 – 3.24]	< 0.02
\overline{EDD}	3.62[2.54 – 6.56]	2.50[1.80 – 3.37]	< 0.02

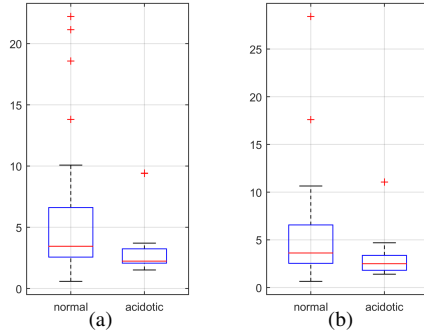


Figure 2: Boxplots for normal and acidotic cases of the selected dataset; (a) feature \overline{E} ; (b) feature \overline{EDD} ; median of the data (red). The borders of the box are the 25th and 75th percentiles of the data and red crosses are the outliers.

4. Conclusion

The obtained results showed that CEEMDAN method in combination with the time-varying AR modeling can be a powerful methodology for the CTG signals assessment. In fact, the analysis of the spectral components associated with the neural sympathetic fetal reactivity (0.03 – 0.15Hz) allowed to recognize significant differences (p -value < 0.02) in the E between normal and acidotic fetuses, represented by the features \overline{E} and \overline{EDD} .

The EDD from one FHR deceleration to another over time, strongly differ between a normal and an acidotic case, presenting higher variations for a normal case. Considering that FHR decelerations are one of the most complex patterns to assess, these results open perspectives for the characterization of them based on this methodology, in order to improve the interpretation and subsequent classification of non-reassuring CTG recordings.

As a future step, beside the proposed features, we propose to extract a greater number of them and evaluate their performance by an automatic CTG classification.

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