# Force-Interval Relationships of the Heart Measured With Photoplethysmography During Atrial Fibrillation

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#### Abstract

Force-interval relationships (FIRs) of the heart represent the relationships between inter-beat intervals (IBIs) and strength of the ventricular contractions. These relationships are typically measured invasively and are altered from normal in heart failure (HF). An unobtrusive and continuous measurement of FIRs could be beneficial when HF and atrial fibrillation (AF) coexist in order to understand if AF causes progression of HF. We hypothesize that FIRs could be assessed during AF with IBIs and hemodynamic changes captured unobtrusively by photoplethysmography (PPG) at the wrist. FIRs were assessed by using Spearman's rank correlation between the pulse onset change in the PPG waveform and either the preceding or pre-preceding IBIs ( $r_{pre}$  and  $r_{pre-pre}$ ) in 5-minute segments. 32 patients (14 continuous AF, 18 no AF) were measured during the night with PPG and electrocardiography as a reference. The mean and standard deviation of  $r_{pre}$  were -0.25  $\pm$  0.08 and 0.05  $\pm$  0.12 (p < 0.0001), and of  $r_{pre-pre}$  0.60  $\pm$  0.09 and 0.16  $\pm$  0.14 (p < 0.0001), during AF and sinus rhythm, respectively. Areas under the Receiver Operating Characteristics curve were 0.987 and 0.998, respectively. Thus, during AF the IBIs correlate with the beat-to-beat changes of blood volume measured with PPG, likely to indicate that FIRs can be measured unobtrusively with the PPG signal.

### **1.** Introduction

Force-interval relationships (FIRs) of the heart, i.e. post-extrasystolic potentiation (PESP) and mechanical restitution (MR), represent the relation between the length of a preceding or a pre-preceding inter-beat interval (IBI) and the strength of contraction that follows [1]. In PESP, the beat following an extrasystole is potentiated, i.e. the contraction is stronger, which is a phenomenon known al-

ready for 120 years [2]. The MR, in turn, represents the recovery of the contractile strength after an extrasystolic beat [1].

In heart failure (HF) the contractile capacity of the heart is reduced and a number of studies have shown that FIRs of a failing heart are different to those of non-failing hearts [3–5]. The cause of the difference is not entirely understood, but is presumably due to altered  $Ca^{2+}$  handling of the myocardial cells [6]. Studies of FIRs have been commonly conducted with invasive measures in laboratory settings, although recently Sinnecker et al. [7] stated that FIR assessed by an unobtrusive blood pressure measurement predicts mortality in survivors of myocardial infarction with atrial fibrillation (AF).

AF is the most commonly experienced sustained cardiac arrhythmia. It is characterized by an abnormal electrical activity of the atria which causes the heart to beat irregularly. Studies have shown that, related to this irregularity, the contractility of the heart varies beat-to-beat as a consequence of FIRs [8–10]. AF often coexists with HF and the clinical outcome of patients with this coexistence is particularly poor compared to those having only one of the two conditions [11]. For some HF patients with reduced ejection fraction, AF causes symptomatic deterioration whereas for others AF does not affect the patient's condition, the difficulty being the identification of the two groups of patients [12].

Photoplethysmography (PPG) is an unobtrusive measurement modality that has recently gained much interest due to its ease of use and applicability for long-term monitoring, e.g. in wrist-based wearable devices. PPG measures blood volume changes in the vascular bed of the tissue and enables heart rate detection from the pulses in the signal. In case of AF, promising results have been presented for detection of AF episodes with continuously measured wrist-worn PPG by assessing rhythm irregularities [13]. However, there is limited knowledge whether continuous PPG monitoring during AF could have additional value beyond rhythm irregularity assessment, considering that PPG also captures hemodynamic effects.

We hypothesize that the beat-to-beat contractility variations during AF may be reflected as pulse-to-pulse variations in the PPG waveform. The assumption is that during irregular rhythm FIRs can be observed and there is a stronger correlation between IBIs and variations in pulse morphology. In this paper we present a study about the relationships between IBIs and PPG signal morphology variations during AF that could possibly serve as an unobtrusive method to assess FIRs.

### 2. Methods

## 2.1. Data

The dataset consisted of 24-hour measurements of electrocardiography (ECG), PPG and accelerometer from 40 subjects. PPG and accelerometer were measured with a wrist-worn data logging device equipped with the Philips Cardio and Motion Monitoring Module (CM3 Generation-3, Wearable Sensing Technologies, Philips, Eindhoven) and an ECG reference with a 12-lead Holter monitor (H12+, Mortara, Milwaukee, WI, USA). The measurement protocol and devices are described in more detail in [13].

The ECG data were visually analyzed by a clinical expert using an automated rhythm detection software (Veritas, Mortara, Milwaukee, WI, USA). The ECG beat times were extracted by the software and every beat was classified either as normal, supraventricular or ventricular premature contraction, AF, paced, artifact, or unknown. The rhythm was then confirmed or corrected by the expert. In addition to rhythm information, the collected data included patient diaries of the daily activities, and baseline characteristics, medical characteristics, and information about medication which were retrieved from the medical records.

For the analysis, patients with atrial flutter or very noisy ECG reference were excluded. This resulted in 32 patients of which 14 had continuous AF (age (years,  $m \pm sd$ ): 68  $\pm$  11, males: 71%, BMI (kg/m<sup>2</sup>,  $m \pm sd$ ): 29.8  $\pm$  6.9) and 18 showed normal sinus rhythm with premature contractions (age, (years,  $m \pm sd$ ): 67  $\pm$  14, males: 50%, BMI (kg/m<sup>2</sup>,  $m \pm sd$ ): 28.1  $\pm$  5.6).

### 2.2. Features

The PPG data was downsampled from 128 Hz to 64 Hz and preprocessed by filtering with a bandpass filter to the range from 0.3 to 5 Hz. The pulses were detected by identifying fiducial points in the PPG waveform such as the onset of the pulse. The major fluctuations in the PPG signal were removed by subtracting a cubic spline fitted to the point of the maximum gradient of the rising pulse

slope [14]. The IBIs were calculated as the time differences between two consecutive pulse onset times. Based on the IBI sequence from PPG and the IBI sequence from the ECG reference beat-times, the reference beat labels were aligned with the PPG pulses.

The analysis was limited to the period at night between the times that patient had reported going to bed and getting up in the morning with the purpose of having less movement artifacts in the signal. The time stamps were selected manually around the reported sleep and awake times by looking at the accelerometer data.

Figure 1 shows an example of recorded PPG waveform during AF with six PPG pulses and the variables that from here on will be referred as pre-preceding inter-beat interval (IBI<sub>pre-pre</sub>), preceding inter-beat interval (IBI<sub>pre</sub>), and difference in the PPG signal between two consecutive pulse onsets (i) and (i-1) (onset<sub>diff</sub>). IBI<sub>pre</sub> is the time difference between the pulses (i) and (i-1) and IBI<sub>pre-pre</sub> between the pulses (i-1) and (i-2). The onset<sub>diff</sub> could indirectly reflect the change in end-diastolic volume. This is, in turn, related to the change in stroke volume and end-systolic volume, which are known to be influenced by FIRs [10].



Figure 1. Example of PPG pulses,  $onset_{diff}$ ,  $IBI_{pre}$ , and  $IBI_{pre-pre}$  during AF.

The relationship between IBIs and  $\operatorname{onset}_{diff}$  were analyzed with Spearman's rank correlation. Spearman's rank correlation was selected because of the non-linearity of the relationship. IBIs < 200 ms and > 2000 ms were discarded from the analysis before assessing the correlation. The correlation coefficients between  $\operatorname{IBI}_{pre-pre}$  and  $\operatorname{onset}_{diff}(r_{pre-pre})$ , and  $\operatorname{IBI}_{pre}$  and  $\operatorname{onset}_{diff}(r_{pre})$  were computed in 5-minute segments shifting by 60s and including segments containing at least 180 IBIs. In every segment top and bottom 2% of  $\operatorname{onset}_{diff}$  values and corresponding IBIs were discarded to exclude outliers. Moreover, segments including premature contractions were excluded from the analysis since these also induce FIRs and the focus of the analysis was in FIRs during AF.

# 2.3. Statistical analysis

The difference between the distributions of correlation coefficients  $r_{pre}$  and  $r_{pre-pre}$  during AF compared to sinus rhythm was assessed with Mann-Whitney U-test. In addition, Receiver Operating Charateristics (ROC) were analyzed in order to understand to which extent the two correlations are different in the AF and sinus rhythm groups.

### 3. **Results**

The relationships between the inter-beat intervals  $(IBI_{pre-pre} \text{ and } IBI_{pre})$  and  $onset_{diff}$  when computed in a 5-minute segment during AF are shown in figure 2. It illustrates a similar positive trend of  $onset_{diff}$  in relation to pre-preceding IBI and a negative trend in relation to preceding IBI which is similar to the relationships of the IBIs and end-systolic volume as in [10] during AF.



Figure 2. The relation between preceding IBI ( $\text{IBI}_{pre}$ ) and pulse onset difference ( $\text{onset}_{diff}$ ) (top) and between pre-preceding IBI ( $\text{IBI}_{pre-pre}$ ) and pulse onset difference (below) in a 5-minute segment when every point represents a beat.

Histograms of correlation coefficients  $r_{pre}$  and  $r_{pre-pre}$ computed for IBI<sub>pre</sub> and onset<sub>diff</sub>, and IBI<sub>pre-pre</sub> and onset<sub>diff</sub>, respectively, are presented in figure 3. The mean and standard deviation of  $r_{pre}$  were -0.25 ± 0.08 and 0.05  $\pm$  0.12, for AF and sinus rhythm, respectively, and of  $r_{pre-pre}$  0.60  $\pm$  0.09 and 0.16  $\pm$  0.14. In both cases the Mann-Whitney U-test confirmed that the difference in the distributions of  $r_{pre}$  and  $r_{pre-pre}$  between the AF and sinus rhythm group was statistically significant (p < 0.0001). Of all computed  $r_{pre}$  94.84% were significantly different from zero (p < 0.05) during AF with respect to 23.66% when AF was not present. For  $r_{pre-pre}$  the percentages of nonzero correlation were 99.98% and 58.37% for AF and sinus rhythm, respectively.

Figure 4 shows the ROC curves for the correlation coefficients  $r_{pre}$  and  $r_{pre-pre}$ , with the areas under the curve 0.987 and 0.990, respectively.

### 4. Discussion

In this paper we presented two features that could represent FIRs in the PPG signal during AF. The beat-tobeat variability of IBIs during AF shows a relation with blood volume changes which cannot be observed when there is little variability, i.e. during sinus rhythm. The mean correlation coefficients between IBIs and pulse onset changes during AF obtained in this study are similar to the ones presented by Brookes et al. [10] with IBIs and end-systolic volume. The unobtrusive continuous assessment of FIRs, and whether they change with time, can be particularly interesting when AF and HF coexist, since AF can increase symptoms and cause further progression of HF [11]. Moreover, AF can induce HF. Continuous monitoring could possibly help in noticing early on deterioration in the patient's condition, enabling interventions or changes to treatment and preventing further deterioration.

As a limitation, an invasive reference measure of contractility was missing in this study. In addition, new studies are required for investigating whether the unobtrusive measures from PPG presented here show a difference between HF patients and patients with non-failing hearts.

### 5. Conclusion

The relationship between IBIs and pulse-to-pulse morphology variations in the PPG signal during AF could reflect the FIRs of the heart. This will provide an interesting unobtrusive parameter to study further in the group of patients with AF and HF, first by confirming the findings with experiments in a controlled setting using an invasive measurement as a reference, and later on with the aim of improving disease management in this patient group.

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Figure 3. Histograms of  $r_{pre}$  (left) and  $r_{pre-pre}$  (right) during AF and sinus rhythm.



Figure 4. ROC curves for  $r_{pre}$ , and  $r_{pre-pre}$ .

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